



# HSZ40 Array Controller Operating Software

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## HSOF Version 3.1

## Release Notes

Order Number: EK-HSZ40-RN. L01

This document summarizes features and characteristics of the HSZ40 array controller operating software Version 3.1 that are not covered elsewhere in the documentation. These release notes also contain instructions for installing the software.

**Software Version:** HSOF V3.1

**Digital Equipment Corporation**  
**Maynard, Massachusetts**

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**March 1997**

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**Warning!**

This is a Class A product. In a domestic environment this product may cause radio interference in which case the user may be required to take adequate measures.

**Achtung!**

Dieses ist ein Gerät der Funkstörgrenzwertklasse A. In Wohnbereichen können bei Betrieb dieses Gerätes Rundfunkstörungen auftreten, in welchen Fällen der Benutzer für entsprechende Gegenmaßnahmen verantwortlich ist.

**Avertissement!**

Cet appareil est un appareil de Classe A. Dans un environnement résidentiel cet appareil peut provoquer des brouillages radioélectriques. Dans ce cas, il peut être demandé à l'utilisateur de prendre les mesures appropriées.

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## Introduction

These release notes provide information for the HSZ40 array controller operating software Version 3.1<sup>1</sup> not covered elsewhere in the documentation. This document should be used by individuals responsible for configuring, installing, and using the HSZ40 controllers.

Read this entire document before installing or upgrading the software.

## Topics Covered

These release notes cover the following topics:

- Hardware and software supported by HSOF Version 3.1 software
- New features—Modifications in the software that add significant functions which the controller can perform
- Changes from Version 3.0—Modifications to operating parameters or functions that do not necessarily add to the functions performed by the controller
- Clarifications—Explanations of controller behavior in certain situations
- Operating constraints—Limitations placed on the operation of the controller by the nature of its design
- Avoiding Problem Situations—Information to help you avoid and recover from unusual controller behavior
- Corrections and additions to the documentation
- Installation instructions
- Identification of the software revision level

## Release Package Contents

The Version 3.1 release package consists of the following:

- A cover letter
- The HSZ40 documentation set:
  - *DIGITAL Storageworks HSZ40 Array Controller  
HSOF Version 3.1  
Configuration Manual*

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<sup>1</sup> The OpenVMS™ SHOW CLUSTER command and the HSOF software SHOW THIS\_CONTROLLER command display the version as “V31Z”.

- *DIGITAL Storageworks HSZ40 Array Controller  
HSOF Version 3.1  
Service Manual*
- *DIGITAL Storageworks HSZ40 Array Controller  
HSOF Version 3.1  
CLI Reference Manual*
- *DIGITAL Storageworks Array Controller  
HSZ40 Array Controller Operating Software HSOF Version 3.1 Release Notes*
- *DIGITAL Storageworks Family Array Controller  
Operating Software (HSOF), Version 3.1 Software Product Description*
- A PCMCIA program card containing HSOF Version 3.1 software

## Intended Audience

This document has been prepared for DIGITAL customers who have purchased HSZ40 array controllers and for DIGITAL Multivendor Customer Services personnel responsible for installing and maintaining systems that include HSZ40 array controllers.

## Hardware and Software Support

This section lists the hardware and software compatible with HSOF Version 3.1 software.

### Hardware Support

HSOF Version 3.1 software supports the following revisions for the HSZ40 controllers and associated hardware:

- HSZ40–B<sub>x</sub> and HSZ40–C<sub>x</sub> controller modules
- Version 2 cache module, hardware revision A or B
- BA350–MA controller shelf
- BA350–S<sub>x</sub> 8-bit SCSI device shelf
- BA356–S<sub>x</sub> wide device shelf with 8-bit or 16-bit I/O module (controller operates in 8-bit mode only)
- BA35<sub>x</sub>–HF power supply

## Operating System Support

HSOF Version 3.1 software on HSZ40 controllers is supported by the following operating system versions, within the limitations described in *DIGITAL Storageworks HSZ40 Array Controller HSOF Version 3.1 Configuration Manual* :

- DIGITAL UNIX™ Versions 3.2c, 3.2d, 3.2g, and 4.0b
- DIGITAL UNIX™ Versions 4.0 and 4.0a with the following patches:
  - V4.0 requires patch OSF 400-114
  - V4.0a requires patch OSF 405-034
- OpenVMS Alpha Version 6.2, 7.0, and 7.1
- Windows NT® Server Version 3.51 and 4.0

## Host Adapter Support

The following host adapters are supported by the DIGITAL UNIX operating system for HSZ40 controllers:

- KZTSA (for DEC 3000™ systems) adapter firmware version A11
- PMAZC (for DEC 3000 systems – requires a DWZZ-series signal converter) adapter firmware version 2.0
- KZMSA (for DEC 7000™ and DEC 10000™ systems and for DIGITAL AlphaServers 8200 and 8400 – requires a DWZZ-series signal converter)
- KZPSA (for DIGITAL AlphaServers 1000/2000/2100/8200/8400) adapter firmware version A10

The following host adapters are supported by the OpenVMS Alpha operating system Version 6.2 and higher for HSZ40 controllers:

- KZTSA (for DEC 3000 systems) adapter firmware version A11 and higher
- KFTIA (for TurboLaser 8200 embedded SCSI) adapter firmware version 2.46 and higher
- PMAZC (for DEC 3000 systems – requires a DWZZ-series signal converter) adapter firmware version 2.1 and higher
- KZMSA (for DEC 7000 and DEC 10000 systems – requires a DWZZ-series signal converter)
- KZPAA (for DIGITAL AlphaServers 1000/2000/2100 systems)
- KZPSA (for DIGITAL AlphaServers 1000/2000/2100/8200/8400) adapter firmware version A10 and higher

The following host adapters are supported by the Windows NT operating system Version 3.51 for HSZ40 controllers:

- KZPSA (for DIGITAL AlphaServers 400/1000/2000/2100) adapter firmware version A10 and higher



## Device Support

HSOF Version 5.1 Software supports the devices listed in Table 1 through Table 4 at the indicated hardware and microcode levels or higher.

**Table 1: Supported Disk Drives**

| Device                   | Capacity in Gigabytes | Minimum Microcode Version | Minimum Hardware Version |
|--------------------------|-----------------------|---------------------------|--------------------------|
| RZ25-VA                  | 0.43                  | 0900                      | B01                      |
| RZ26-VA                  | 1.05                  | T392                      | D02                      |
| RZ26L-VA/VW <sup>1</sup> | 1.05                  | 440C                      | A01                      |
| RZ26N-VA/VW <sup>1</sup> | 1.05                  | 446                       | A01                      |
| SWXD3-SF/WF <sup>1</sup> | 1.05                  | 446                       | A01                      |
| DS-RZ26N-VZ <sup>1</sup> | 1.05                  | 1003                      | A01                      |
| RZ28-VA/VW <sup>1</sup>  | 2.10                  | 435E                      | A01                      |
| RZ28B-VA                 | 2.10                  | 0003                      | A01                      |
| RZ28D-VA/VW <sup>1</sup> | 2.10                  | 0008                      | A01                      |
| SWXD3-SG/WG <sup>1</sup> | 2.10                  | 0008                      | A01                      |
| RZ28M-VA/VW <sup>1</sup> | 2.10                  | 0466                      | A01                      |
| DS-RZ28M-VZ <sup>1</sup> | 2.10                  | 1003                      | A01                      |
| SWXD3-SH/WH <sup>1</sup> | 2.10                  | 0466                      | A01                      |
| RZ29B-VA/VW <sup>1</sup> | 4.3                   | 0007                      | B01                      |
| SWXD3-SE/WE <sup>1</sup> | 4.3                   | 0007                      | C02/A01                  |
| DS-RZ40-VA               | 9.1                   | LYGO                      | A01                      |
| RZ74-VA                  | 3.57                  | T427B                     | B07                      |

Note:

1. Wide disk drives require a BA356 shelf with an 8-bit I/O module.

**Table 2: Supported Tape Drives**

| Device       | Capacity in Gigabytes | Minimum Microcode Version | Minimum Hardware Version | Notes     |
|--------------|-----------------------|---------------------------|--------------------------|-----------|
| TL812        | 960/1920              | 1.2 robot /CC33 drive     | A01                      | 1,2,3,4,5 |
| TL822        | 5280/10560            | 1g4F robot /CC33 drive    | A01                      | 1,2,3,4,5 |
| TL826        | 3520/7040             | 1g4F robot /CC33 drive    | A01                      | 1,2,3,4,5 |
| DS-TL893     | 924/1848T             | V2A/5A                    | A01                      | 1,2,3,4,5 |
| DS-TL894     | 1.68/3.36T            | V1.24                     | A01                      | 1,2,3,4,5 |
| DS-TL896     | 6.16/12.32T           | V2A/5A                    | A01                      | 1,2,3,4,5 |
| TZ87-VA      | 10/20                 | 930A                      | A01                      | 3,5,6     |
| TZ87N-VA     | 10/20                 | 930A                      | A01                      | 3,4,5,6   |
| TZ87-TA      | 10/20                 | 9514                      | B02                      | 1,2,3,6   |
| TZ875-NT     | 50/100                | 930A                      | A01                      | 1,3,5,6   |
| TZ875-TA     | 50/100                | 930A                      | A01                      | 1,3,5,6   |
| TZ877-AE/AF  | 70/140                | 930A                      | A01                      | 1,3,5,6   |
| TZ88N-VA     | 20/40                 | CC33                      | A01                      | 3,4,5,6   |
| TZ885-NT/NE  | 100/200               | CC33                      | A01                      | 1,3,4,5,6 |
| TZ887- NT/NE | 140/280               | CC33                      | A01                      | 1,3,4,5,6 |
| DS-TZ89N-VW  | 35/70                 | 141F                      | A01                      | 3,4,5,6,7 |
| DS-TZ89N-TA  | 35/70                 | 141F                      | A01                      | 1,3,4,5,6 |

**Notes:**

Tape devices are not supported on Windows NT™, NOVELL™, Sun Solaris™, HP-UX™ or IBM AIX™ systems.

In the Capacity column, T = Terabytes

1. Requires 0.2 meter SCSI-1 to SCSI-2 transition cable, DIGITAL internal part number 17-03831-01 for DWZZA-AA, and DIGITAL part number 17-04367-01 for SSB DWZZA-VA and DWZZB-VW.
2. Requires DWZZA/DWZZB single-ended to differential SCSI signal converter.
3. Capacity values represent compressed data. The compression factor is device dependent based on individual device algorithms.

4. Cannot read TK50, TK70 or TZ30 formatted tapes.
5. Requires a KZPSA or PMAZC host adapter.
6. Tape device code load is supported.
7. Wide tape devices require a BA356 shelf with an 8-bit I/O module.

**Table 3: Supported Solid State Disk Drives**

| <b>Device</b> | <b>Capacity in Gigabytes</b> | <b>Minimum Microcode Version</b> | <b>Minimum Hardware Version</b> |
|---------------|------------------------------|----------------------------------|---------------------------------|
| EZ31-VW       | 0.134                        | V064                             | A01                             |
| EZ32-VW       | 0.268                        | V064                             | A01                             |
| EZ51R-VA      | 0.10                         | V096                             | D01                             |
| EZ54R-VA      | 0.42                         | V096                             | A01                             |
| EZ58R-VA      | 0.85                         | V109                             | C01                             |
| EZ64-VA       | 0.475                        | V064                             | A01                             |
| EZ64-VW       | 0.475                        | V070                             | A01                             |
| EZ69-VA       | 0.950                        | V064                             | A01                             |
| EZ69-VW       | 0.950                        | V070                             | A01                             |

Note: Do not warm-swap solid-state disk drives. Make sure power to the device shelf is turned off before removing or inserting this device.

**Table 4: Supported CD-ROM Readers**

| <b>Device</b> | <b>Capacity in Gigabytes</b> | <b>Minimum Microcode Version</b> | <b>Minimum Hardware Version</b> |
|---------------|------------------------------|----------------------------------|---------------------------------|
| RRD42-VB/VU   | 0.6                          | 1.1a                             | A01                             |
| RRD43-VA      | 0.6                          | 0064                             | A02                             |
| RRD44-VA      | 0.6                          | 3493                             | A02                             |
| RRD45-VA/VU   | 0.6                          | 1645                             | A01                             |

**Notes:**

CD-ROM drives are only supported under the DIGITAL UNIX and OpenVMS operating systems.

Do not warm-swap CD-ROM drives. Make sure power to the device shelf is turned off before removing or inserting this device.

## New Features

The following list describes changes in the operation of the controller provided by the software. A change is a modification of an operating parameter or function that was introduced in software revisions prior to version 5.1, but was not covered in the documentation.

### DILX Enhancement

The HSZ40 controller with HSOF Version 3.1 software has a new version Disk In-Line Exerciser (DILX) that acts on disks configured as single-device, RAIDset, stripeset, mirrorset, stripe-mirrorset or partitioned units.

### Ability to Install New Tape Drive Microcode

HSOF Version 3.1 software allows you to run the controller's HSUTIL utility to install new microcode to the following tape drive:

- TZ89

HSOF Version 3.1 software also allows you to use the controller's HSUTIL program to install new microcode to the following solid state disk drives:

- EZ51
- EZ54
- EZ64
- EZ69

See *DIGITAL Storageworks HSZ40 Array Controller HSOF Version 3.1 Service Manual* for instructions on how to install new device microcode.

### Set SCSI Speed for Devices

HSOF Version 3.1 software makes it possible for the initiator and target to negotiate a faster data rate than is supported by the cable length. HSOF Version 3.1 software has a new CLI command to set a maximum data transfer rate between the controller and any device.

To set the transfer speed, use the SET command as follows:

```
SET device-name TRANSFER_RATE_REQUESTED=rate
```

*rate* can be one of the following:

ASYNCHRONOUS

10MHZ

5MHZ

The default is 10MHZ.

## Clarifications

This section presents clarifications on controller behavior in certain situations.

### Logical Device Event Reports

Under certain circumstances the Port, Target, and LUN fields contained in event reports associated with storageset logical devices, Event Log displays as well as sense data responses, will be set to 255(decimal) instead of the Port, Target, and LUN of the first physical device in the storageset. In addition, when the Port, Target, LUN are set to 255, the Device Type is set to 0 (magnetic disk device), and the Device Product ID and Device Firmware Revision Level fields will be ASCII space filled.

### SAVE\_CONFIGURATION from Previously Initialized Storagesets

If the storagesets in your configuration were previously initialized and the INITIALIZE container-name SAVE\_CONFIGURATION command was issued to save your configuration to disk, it is not necessary to enter an INITIALIZE command again after you have reconfigured your devices with a new controller.

### Using SAVE\_CONFIGURATION to Save the Subsystem's Configuration

The controller stores the subsystem's configuration—the storagesets you configured, the qualifiers you set for each, the HSOF patches you've installed, and so on—in its non-volatile memory. This means that, if the controller fails in a nonredundant configuration, you would have to reconfigure the subsystem from scratch. (In a dual-redundant configuration, this information is stored by both controllers, which effectively eliminates the risk of losing it.)

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#### Note

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DIGITAL recommends that the SAVE\_CONFIGURATION qualifier only be used for nonredundant controller configurations. To save the configuration information for dual-redundant configurations use the SET FAILOVER COPY= command.

---

If you are operating a nonredundant configuration subsystem, DIGITAL recommends that you save the subsystem's configuration on at least one of the devices or storagesets with the following command:

```
INITIALIZE storageset_name SAVE_CONFIGURATION
```

When you initialize a device or storageset with the SAVE\_CONFIGURATION qualifier, the controller copies the subsystem's configuration to the disk drives. If you use the qualifier for a multi-device storageset, such as a stripeset, the complete information is stored on each device in the storageset. The capacity of a device that is initialized with the

SAVE\_CONFIGURATION qualifier is reduced by 256KB. This capacity reduction applies to each member of a storageset.

After you initialize a storageset with this qualifier, the controller keeps the copy up to date. For example, every time you add a new storageset, or add a patch to the HSOF software, the controller re-copies the new configuration to all storagesets that were initialized with the SAVE\_CONFIGURATION qualifier.

If a controller in a nonredundant-configuration fails, its replacement automatically searches the devices in the subsystem for a saved configuration. If it finds a saved configuration, it transparently loads it into non-volatile memory and brings the subsystem online. It is not necessary to issue an INITIALIZE command again after you have reconfigured your devices with a new controller.

### **Considerations for Saving the Subsystem's Configuration**

DIGITAL does not recommend initializing all of your storagesets with the SAVE\_CONFIGURATION qualifier. Every time you change the subsystem's configuration, the controller writes the new configuration to the storagesets that you initialized with this qualifier. Too many write operations can adversely affect performance.

The SAVE\_CONFIGURATION qualifier cannot cross hardware revisions or software versions. SAVE\_CONFIGURATION is not available for upgrades of firmware or hardware, and will not perform inter-platform conversions. For example you cannot use SAVE\_CONFIGURATION to upgrade from HSOF Version 3.0 to Version 3.1, or from an HSZ40 to an HSZ50 array controller.

### **Support for Larger Storagesets**

HSOF Version 3.1 software allows you to create storagesets with capacities of up to 120GB. You can configure drives of any size into storagesets, such as RAIDsets or stripesets. The maximum size of a storageset is 120GB, regardless of the size of the member drives.

### **Faster Storageset Initialization**

The INITIALIZE command was optimized in Version 3.0, and runs significantly faster than on previous versions of HSOF software. It takes less than 30 seconds to initialize a large RAIDset.



## Operating Constraints

This section describes the operating constraints for HSOF Version 3.1 software. An operating constraint is defined by the parameters within which the controller is designed to operate. Constraints of other system components, such as host adapters, may also be described in this section. Keep these constraints in mind to avoid problems and to obtain the maximum performance available from your controller.

### Limits on the Total Number of Storage Sets

The following limits apply to storage sets configured on a single controller or dual-redundant controller configuration:

- A mirror set can have a maximum of 6 members.
- A stripe set or RAID set can have a maximum of 14 members.
- There can be a maximum of 20 mirror sets or RAID sets or both.
- There can be a maximum of 30 storage sets including RAID sets, mirror sets, and stripe sets.
- There can be a maximum of 32 physical device members total for a unit.
- There can be a maximum of 4 partitions per disk or storage set.

### Restrictions on Moving Storage Sets to Previous Software Versions

In HSOF Software Version 2.5, striped mirror sets reported a different disk geometry than the same non-mirrored stripe set. This has been resolved in Versions 2.7, 3.0 and 3.1. Striped mirror sets initialized under these versions report a disk geometry identical to the same nonmirrored stripe set.

Due to this improvement, observe the following restrictions when moving stripe sets to Version 2.5 of software:

- Striped mirror sets created under HSOF Version 2.7, 3.0 or 3.1 software cannot be used on previous versions; the metadata is incompatible.
- Stripe sets that are converted to a striped mirror set using the MIRROR command under HSOF Version 2.7, 3.0 or 3.1 software cannot be used on previous versions. You must UNMIRROR each of the members to return the stripe set to an earlier version.
- Stripe set clones that are created under HSOF Version 2.7 or 3.1 software with the CLONE utility cannot be used with previous software versions.
- Containers initialized with the SAVE\_CONFIGURATION switch cannot be used with previous software versions.

Striped mirrorsets created under previous software versions and used with HSOF Version 2.7, 3.0 or 3.1 software carry forward the same disk geometry they had under the previous version. If possible, back up your data and reinitialize the stripesets under Version 2.7, 3.0 or 3.1 to take full advantage of disk geometry improvements.

### **Partitioning Not Supported with SCSI Multiple Bus Failover**

The SCSI multiple bus failover feature in HSOF Version 3.1 software does not support partitioned disks or storagesets. You must delete any existing partitions before enabling multiple bus failover, and you cannot create partitions once the controllers have been configured to operate in multiple bus failover mode.

### **SCSI Multiple Bus Failover Host Operating System Support**

Although the HSZ40 array controller has the capability to support SCSI multiple bus failover, currently, OpenVMS, DIGITAL UNIX, and Windows NT Server operating systems do not support this feature. Check your operating system's Software Product Description and release notes to determine whether your operating system provides support for this feature.

### **CFMENU Constraints**

CFMENU can only delete one spareset member at a time. You must delete sparesets one at a time by responding Y to one member, then respond N to the rest of the spareset's members. CFMENU will delete the spareset member.

You can also delete spareset members using the CLI command `DELETE SPARESET disk_name`. While you still have to delete each member individually with separate CLI commands for each one, this is a faster method for deleting multiple spareset members.

## **CLONE Utility Constraints**

The CLONE utility cannot be used with partitioned units.

## **Low/Failed Battery on Dual-Redundant Configurations with Preferred Paths**

If a cache battery goes low or fails for a controller in a dual-redundant configuration and preferred paths are set, that controller's units may go "AVAILABLE" on the "OTHER" controller and INOPERATIVE on it. This causes a MOUNT VERIFY on the units from OpenVMS. You will need to issue the SET unit-name NOPREFERRED\_PATH command which will allow the units to go out of MOUNTVERIFY. Later you can reissue the SET unit-name PREFERRED\_PATH= command to the affected units.

## **Unit Problems after Battery Low**

An unusual combination of circumstances may cause a unit to unexpectedly become inoperative or report lost data after a write-back cache battery changes state from "low" to "good." No data has been lost. Reset the controller to correct the unit state.

## **CLEAR\_ERRORS LOST\_DATA command**

When entering the CLEAR\_ERRORS LOST\_DATA command for a RAIDset-based unit, always enter the command on the controller that owns the unit (through the preferred path). Entering the command from the companion controller in a dual-redundant configuration will not clear the lost data.

## **Only One Qualifier per CLI Command Recommended**

Certain qualifiers to CLI commands are incompatible. To avoid problems in this area, only specify one qualifier per CLI command. For example, to set both WRITEBACK\_CACHE and NORUN on unit D102, use the following two commands:

```
CLI> SET D102 WRITEBACK_CACHE
CLI> SET D102 NORUN
```

## Avoiding Problem Situations

In certain situations, you may experience unusual controller behavior. This section presents information to help you to avoid such situations and to recover from them if they occur.

### Device Adds, Moves, and Changes

The controller maintains configuration maps of device types and locations. It uses the location maps to communicate with devices. If you add, move, or change devices while the controller is powered off and without changing the controller configuration first, the controller is not able to work with the changed devices when it returns to service.

### Tape Drive Firmware Revision

If you are not using HSUTIL to install your new tape firmware, do the following:

Before installing a new version of tape drive firmware, delete the tape drive completely from the controller configuration. You can add the tape drive back to the configuration after the firmware installation is complete.

### Running CONFIG or CFMENU Utilities During Backup Operations

Do not run the CONFIG or CFMENU utilities during a tape backup operation.

### Changing Host Adapter Types

If for some reason you change your host adapter and maintain the same node number, you **MUST** reinitialize your controller(s).

### CLONE Utility

When running the CLONE utility in a dual-redundant controller configuration, do not issue any CLI commands to devices that are involved in the clone operation.

## Restarting a Controller

When you encounter problems with your controller, sometimes you will need to shut it down and restart it. To avoid problems when restarting a controller, always use the following sequence of commands:

1. Shutdown the controller:  
`CLI> SHUTDOWN THIS_CONTROLLER`
2. If you need to remove the PCMCIA card, always press the reset button while ejecting the card.

## Moving Write-Back Cache Modules

When moving a write-back cache module to a new environment (that is, different controller, different devices), it is very important that you perform a clean shutdown of the controller and clear any data from the cache. Follow these steps to move a write-back cache module:

1. Shutdown the controller and flush unwritten data from the cache module using the appropriate CLI command:  
`CLI> SHUTDOWN THIS_CONTROLLER`  
or  
`CLI> SHUTDOWN OTHER_CONTROLLER`
2. Wait for the SHUTDOWN command to complete and verify that the controller does not report any errors.
3. Remove the controller and cache module following the instructions in the *DIGITAL Storageworks HSZ40 Array Controller HSOF Version 3.1 Service Manual*.

The SHUTDOWN command flushes cache data to the devices, and you can install the module in another location without problems caused by uncleared cache data.

## Documentation Additions and Corrections

Following are additions and corrections to *DIGITAL Storageworks HSZ40 Array Controller HSOF Version 3.1 Configuration Manual*, *DIGITAL Storageworks HSZ40 Array Controller HSOF Version 3.1 Service Manual* and *DIGITAL Storageworks HSZ40 Array Controller HSOF Version 3.1 CLI Reference Manual*.

(none identified)

## HSOF Software Installation

Use the procedures described in this section to install the HSOF Version 3.1 software supplied in this kit. The procedure for upgrading your software to Version 3.1 requires that you shutdown and then restart the controllers. This process typically takes less than 5 minutes to accomplish.

---

### Note

---

HSOF Version 3.1 software waits up to one minute after the controller restarts before presenting a CLI prompt or accepting any commands. This delay does not affect unit availability to the host. The delay provides time for controller's internal configuration operations to process completely before entered commands can change the configuration.

After you insert the HSOF Version 3.1 program cards and press the reset button, allow 60 seconds for the CLI prompt to appear.

---

DIGITAL recommends dismounting devices attached to the controller before performing the upgrade procedure. If it is not convenient to dismount the devices, perform the upgrade when I/O load is slow.

---

### Caution

---

If the controller about to be upgraded is running HSOF V2.7 and contains JBOD disks that were initialized using the SAVE\_CONFIGURATION option, it is important to see Appendix A BEFORE upgrading this controller to HSOF V3.1.

---

## Required Parts and Tools

You must have the tools listed in Table 5 to upgrade a controller module to Version 3.1.

**Table 5 Required Tools for Adding a Second Controller**

| TOOLS REQUIRED                 | PURPOSE   |
|--------------------------------|---|
| Maintenance terminal and cable | To shutdown controllers, restart controllers, and invoke C_SWAP       |
| ESD wrist strap and ESD mat    | To protect all equipment against electrostatic discharge              |
| 3/32-inch Allen wrench         | To loosen the controller mounting screws; to reinstall the controller |
| 5/32-inch Allen wrench         | To unlock the SW800-series cabinet                                    |
| Small flat-head screwdriver    | To connect the SCSI cable adapter to the controller                   |

## Nonredundant Configurations

You must shutdown and restart the controller during this upgrade. During this time, the units will be unavailable to the host system. Before upgrading the controller software, prepare the host system by dismounting units or by shutting down the system.

Use the following procedure to upgrade the HSOF software in a nonredundant controller:

1. Stop all I/O to the units in your subsystem.
2. Establish a local terminal connection to the controller.
3. Enter the SHUTDOWN command:

```
$ SHUTDOWN THIS_CONTROLLER
```

Wait for the command to complete. When the controller shuts down, the green Reset (//) LED stops flashing and stays on.

---

### CAUTION

---

Failure to shut down the controller in this step might result in problems with RAIDsets and cache when the controller is reset.

---

4. Remove the ESD shield covering the PCMCIA program card.
5. Press and hold down the reset button while ejecting the program card.



6. Release the reset button.
7. Remove the program card.
8. While holding in the controller reset button, insert the Version 3.1 program card, pressing the card in until the eject button extends outward.
9. Release the reset button.
10. Reinstall the ESD shield.
11. The controller restarts. Communication with the host systems begins as described in the *Digital Storageworks HSZ40 Array Controller HSOF Version 3.1 Configuration Manual*.

## Dual-Redundant Configurations

The procedure below requires that you change your dual-redundant configuration into two single controller configurations for the HSOF Version 3.1 software upgrade. You must shutdown and restart both controllers during this upgrade. Units will be unavailable to the host system during this time. Before upgrading the controller software, prepare the host system for this situation by dismounting units or shutting down the system.

---

### Note

---

DIGITAL does not recommend the use of the SAVE\_CONFIGURATION option for dual-redundant configurations.

---

Use the following procedure to upgrade the HSOF software in a dual-redundant controller:

1. Stop all I/O to the units in your subsystem.
2. Establish a local terminal connection to one of the controllers.
3. Enter the SET NOFAILOVER command to take the controllers out of dual-redundant failover mode.

```
$ SET NOFAILOVER
```

4. Enter the SHUTDOWN command.

```
$ SHUTDOWN THIS_CONTROLLER
```

Wait for the command to complete. When the controller shuts down, the green RESET (//) LED stops flashing and stays lit.

---

**CAUTION**

---

Failure to shut down the controller in this step might result in problems with RAIDsets and cache when the controller is reset.

---

5. Remove the electrostatic-discharge (ESD) shield covering the PCMCIA program card.
6. Press and hold down the reset button while ejecting the program card.
7. Release the reset button.
8. Remove the program card.
9. While holding in the controller reset button, insert the V3.1 program card, pressing the card in until the eject button extends outward.
10. Release the reset button.
11. Reinstall the ESD shield.

The controller restarts. Communication with the host system begins as described in *Digital Storageworks HSZ40 Array Controller HSOF Version 3.1 Configuration Manual*.

12. Repeat all of the previous steps for the second controller.
13. After you complete all of the above steps on both controllers, put the controllers back into dual-redundant (failover) mode by entering the following command:

```
$ SET FAILOVER COPY=CONFIGURATION-SOURCE
```

## Identifying Your HSOF Software Revision Level

You can identify HSOF Version 3.1 software by entering the SHOW THIS\_CONTROLLER command at the CLI prompt. The resulting display lists the software revision level as version “V31Z-*x*” (where *x* represents the number of patches associated with this version of software).

## Order Numbers

The following table contains order numbers for controller options and preconfigured options.

|             |  |
|-------------|--|
| HSZ40-BA/CA | StorageWorks HSZ40 Array Controller with no cache module, 6 SCSI-2 device ports  |
| HSZ40-BD/CD | StorageWorks HSZ40 Array Controller with a 16 MB read cache module, 6 SCSI-2 device ports                              |
| HSZ40-BF/CF | StorageWorks HSZ40 Array Controller with a 32 MB read cache module, 6 SCSI-2 device ports                              |
| HSZ40-XD    | 16 MB read cache module  |
| HSZ40-XF    | 32 MB read cache module  |
| HSZ40-YX    | Write-back cache option kit -- contains two onboard cache batteries, one battery bracket, one write-back cache license |
| QA-2YJAC-HS | HSOF Software and Documentation  |



# Appendix A

## **Possible problem with disks initialized with SAVE\_CONFIG under HSOF V2.7 on HSZ40/20/SWXRC Controllers**

There is a remote possibility that some disks attached to HSZ40/20/SWXRC and the solution products containing them (RA410, SC4200/4600, etc.) may have a problem in the structure of the on-disk file system. Systems which may be affected are those which:

1. Use disks in "JBOD" configuration (that is, disks which are not members of controller-based storage sets such as RAID sets and mirror sets)
2. Initialized disks under HSOF V27Z using the SAVE\_CONFIG switch AND rebooted the controller BEFORE initializing the disk under the operating system.

Note that the problem does not occur if the file system was built on the disk before the controller was rebooted. Also, the problem does not occur when disks are initialized using SAVE\_CONFIG and the platform operating system under HSOF V30Z, V31Z, V50Z, or V51Z.

Note that all 2GB and 4GB drives on Windows NT platforms are NOT exposed to this potential problem. Drives on other platforms meeting the above criteria have a small risk of exposure; see the "How to Detect" section of this Appendix for procedures to determine whether a disk is exposed.

When a disk being used in a JBOD configuration is initialized with SAVE\_CONFIG, the last 500 blocks on the disk are allocated by the controller to store the configuration data. If the controller running HSOF V27Z is rebooted BEFORE the disk is initialized by the platform operating system, the controller fails to remember the reduction in disk size and reports the unreduced disk capacity to the operating system. When the operating system subsequently builds the file system, the blocks which SAVE\_CONFIG will use to update the configuration data are also included in the file system disk space, creating a potential for both the operating system and the controller to write to the last 500 blocks on disk.

If the file system subsequently overwrites configuration data, the controller recognizes that the data is invalid config data and ignores it. In this case, controller parameters must be manually re-entered when SAVE\_CONFIG tries to restore the configuration (unless another drive contains valid config data).

Various configuration events will cause the controller to write the config data to the SAVE\_CONFIG area. If the controller overwrites file system data, the results vary depending on the platform operating system and the application.

If a disk controller which has this problem is moved to a controller running HSOF V31Z before the differing file system and controller view of the disk capacity is resolved and the file system tries to access the SAVE\_CONFIG area, the controller returns an error to the operating system. The action that the operating system will take upon receiving this error will vary depending on the platform, but may include rendering the entire file system or database inaccessible.

## How to Detect if You Have This Problem

### Windows NT platforms

As previously noted, 2GB and 4GB drives on Windows NT platforms are not exposed to the problem described in this appendix. This problem affects 1GB single-disks units in JBOD configuration with SAVE\_CONFIG data stored on them. If you are not using 1GB JBOD disk units with SAVE\_CONFIG data saved on them, do not proceed any further. Your system is NOT at risk.

Use the following procedure to check a JBOD 1GB drive with SAVE\_CONFIG data saved on it to determine whether it is exposed:

- a. Shut down the host computer, wait until shut down is complete
- b. Restart the HSZ controller(s) by pressing the heart-beat button(s) (Green reset button)
- c. Wait a minute, then start the host computer
- d. After the host reboots, start up 'Disk Administrator.'
- e. Determine which drive on 'Disk Admin' corresponds to the 1GB JBOD disk to be checked.
- f. Check if the JBOD has a 1MB or greater unpartitioned space at the end of disk.
- g. If 'f' is true, the disk does NOT have the problem described in this appendix. Make sure that you never use the last 1MB space, leave it unpartitioned.
- h. If 'f' is false, there is no unpartitioned space at the end of the disk, then the very last 196 Blocks (100KB) on the drive are at risk for the problem described in this appendix. See the "Solution" section below for the recovery procedure.

## Novell NetWare platforms

The problem described in this appendix affects single-disks units in JBOD configuration with SAVE\_CONFIG data stored on them. If you are not using JBOD disk units with SAVE\_CONFIG data saved on them, do not proceed any further. Your system is NOT at risk.

NetWare reserves 2% of the space at the end of each disk for bad block replacement. 500 blocks (256KB) at the end of this 2% space will be exposed to the problem described in this appendix. A 2% space is larger than is generally needed for replacing bad blocks. For example, reserve space on a 4GB, 2GB, and 1GB disk is 80MB, 40MB, and 20MB respectively. The probability of a bad block being replaced in the last 256KB of this reserve space is very small; however, it is possible. Use the following procedure to check a disk in JBOD configuration to determine whether it is exposed:

- a. NWSERVER> load install
- b. Open "disk options"
- c. Open "Modify disk partition and Hot Fix"
- d. Select disk drive
- e. Choose "Change Hot Fix"
- f. Record "Redirection Area", this is the BadBlock size.
- g. Calculate 2% of the disk
- h. If BadBlock size is less than (2% - 256KB) then the disk is NOT affected.
- i. If the BadBlock size is greater than (2% - 256KB) then the disk IS at risk. See the "Solution" section below for the recovery procedure.

## Sun Solaris and SunOS platforms

The problem described in this appendix affects single-disks units in JBOD configuration with SAVE\_CONFIG data stored on them. If you are not using JBOD disk units with SAVE\_CONFIG data saved on them, do not proceed any further. Your system is NOT at risk.

If you followed the installation guide, you are not at risk. This is due to the fact that the default partition layout reserves the last two cylinders for diagnostic purposes. The 500 blocks in question will always reside within those two diagnostic cylinders.

If you changed the default partition layout, AND allocated the two diagnostic cylinders to a partition, you may be at risk.

If disks in your system are at risk of this problem, use the following procedure to check a disk in JBOD configuration to determine whether it is exposed:

- a. Use the GUI to display the number of blocks on the unit.  
Do this by selecting the LUN in question, and then choosing LUN parameters from the pull-down menu. Write down this number.
- b. Use the tip command (or an RS-232 terminal) to connect to the controller CLI. If you have problems or questions, this command is documented in the installation guide.
- c. Use the CLI command `show <unitname>`, substituting the actual name of the unit in question for `<unitname>`.
- d. If the GUI and the CLI report different sizes for the same unit, you are at risk for the problem. See the "Solution" section below for the recovery procedure.

## OpenVMS platforms

The problem described in this appendix affects single-disks units in JBOD configuration with SAVE\_CONFIG data stored on them. If you have not moved JBOD disk units with SAVE\_CONFIG data saved on them to the HSZ40 controller being upgraded to V3.1, do not proceed any further. Your system is NOT at risk.

If disks in your system are at risk of this problem, use the following procedure to check a disk in JBOD configuration to determine whether it is exposed:

- a. At the controller prompt, type `SHOW DISKnnn` (where nnn is the JBOD disk in question).
- b. Look for "Configuration being backed up on this container" message.
- c. Record the block size capacity displayed by the controller.
- d. From the OpenVMS prompt on one of the hosts, mount the disk in question and type the command:  
  

```
$ show device/full dka200:
```
- a. Compare the total block size obtained from the "show device" command with the block size capacity obtained in step 'c.'
- b. If the reported sizes are different, this disk is at risk for the problem. See the "Solution" section below for the recovery procedure.

## DIGITAL UNIX platforms

The problem described in this appendix affects single-disks units in JBOD configuration with SAVE\_CONFIG data stored on them. If you are not using JBOD disk units with SAVE\_CONFIG data saved on them, do not proceed any further. Your system is NOT at risk.

If disks in your system are at risk of this problem, use the following procedure to check a disk in JBOD configuration to determine whether it is exposed:



- a. At the controller prompt, type SHOW DISKnnn (where nnn is the JBOD disk in question).
- b. Look for "Configuration being backed up on this container" message.
- c. Record the block size capacity displayed by the controller.
- d. From the DIGITAL UNIX on one of the hosts, type the following commands (rrza18c is used in the following example as the device in question):

```
# disklabel -rw /dev/rrza18c HSZ40 # disklabel -r /dev/rrza18c #
/dev/rrza18c:
```

- a. Compare the sectors/unit output from disklabel command with the block size capacity obtained in step 'c.'
- b. If the reported sizes are different, this disk is at risk for the problem. See the "Solution" section below for the recovery procedure.

## AIX platforms

The problem described in this appendix affects single-disks units in JBOD configuration with SAVE\_CONFIG data stored on them. If you are not using JBOD disk units with SAVE\_CONFIG data saved on them, do not proceed any further. Your system is NOT at risk.

If disks in your system are at risk of this problem, use the following procedure to check a disk in JBOD configuration to determine whether it is exposed:

### AIX 4.1.4

- a. Sum the raw device as shown in the following command:

```
sum -r /dev/rhdiskN
```

- a. If this operation results in a read error as shown below, the disk is at risk for the problem. See the "Solution" section below for the recovery procedure.

```
sum: read error on /dev/rhdiskN
```

### AIX 3.2.5

Disks on systems which have the risk factors described above should be regarded as at risk for the problem described in this appendix.

## HP-UX platforms

The problem described in this appendix affects single-disks units in JBOD configuration with SAVE\_CONFIG data stored on them. If you are not using JBOD disk units with SAVE\_CONFIG data saved on them, do not proceed any further. Your system is NOT at risk.

Disks on systems which have the risk factors described above should be regarded as at risk for the problem described in this appendix.

## Solution

1. If you are using SAVE\_CONFIG to initialize JBOD disks under HSOV V27Z, be sure to initialize the disk with the platform file system BEFORE rebooting the controller.
2. If you have the risk factors for the problem as described in the SYMPTOM and DETECTION sections above, use the steps below to resolve the discrepancy in controller/operating system views of the disk at the earliest opportunity.

DIGITAL recommends that the recovery process described below be performed BEFORE moving the disk to a controller running V3.1. Any files which may have been written in the SAVE\_CONFIG area will be accessible to the operating system after the restore process; however, any such files are suspect and should be carefully examined to ensure that the data they contain is correct, or restored from a previous backup.

- a. Back up the unit that contains SAVE\_CONFIG information.
- b. Unmount the file system(s) contained on that unit.
- c. Delete the unit from the configuration in the controller.
- d. Initialize the container from the controller without SAVE\_CONFIG.
- e. Add the unit back into the configuration.
- f. Initialize and restore unit from backup.